

### CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on or before January 5, 2004.

1/5/04

William L. King III

Date

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kloeppner et al.

Examiner

To be assigned

Serial No.

10/662,665

Group Art Unit

1714

Confirmation No.

1885

Filing Date

September 15, 2003

Attorney Docket No.

GEN-011131 C1

Title

ELECTROCHROMIC MEDIUM HAVING A SELF-

HEALING CROSS-LINKED POLYMER GEL AND

ASSOCIATED ELECTROCHROMIC DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. § 1.56, 1.97, and 1.98, Applicant hereby submits an Information Disclosure Statement for the above-identified application.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97

(b)(1) and/or 1.97(b)(3). As such, it appears that no fee is required for consideration of the

Statement by the Examiner.

Accompanying this statement is Form PTO-1449, on which the references being

submitted herewith are listed. A copy of each of the references is enclosed. Applicant submits

these references are in English or have been translated into English, and are, therefore, without

explanation of relevance under 37 C.F.R. § 1.98(a)(3) so that the Patent Office may evaluate the

relevancy thereof to the presently claimed invention.

This Information Disclosure Statement shall not be construed to be an admission that the

information cited in the same is, or is considered to be, material to patentability under 37 C.F.R.

§ 1.56. As such, neither this Statement nor the accompanying documents are intended to take the

place of the Examiner's independent search of the prior art and independent evaluation of the

prior art and other information, including that brought to the Examiner's attention with this

Statement.

If any other charges or fees must be paid or credited in connection with this

communication, they may be paid or credited out of Deposit Account No. 50-2131.

Respectfully Submitted,

Dated:

115 04

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DEV. 8-831 DEVINE U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

1714

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

#### U.S. PATENT DOCUMENTS

September 15, 2003

EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING
	5,679,283	10/21/1997	Tonar et al.		_	
	5,910,854	06/08/1999	Varaprasad et al.			
	5.928.572	07/27/1999	Tonar et al.			
	5,940,201	08/17/1999	Ash et al.			
	6,002,511	12/14/1999	Varaprasad et al.			
	6,207,292 B1	03/27/2001	Berneth et al.			
			+			
			<u> </u>			

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS	TRA LAT	
	W						

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Large-Area Electrochromic Coatings; Jang et al., J. Electrochem. Soc., Vol. 143,					
			No. 8, August 1996; Pages 2591-2596.				
EXAMINER			DATE CONSIDERED				

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.